

Our ref: FOI ID 5508

24<sup>th</sup> August 2015

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**Re: Freedom of Information Request**

Please find below the response to your recent Freedom of Information request regarding Finance and Activity Plan for 2015-16 within NHS South Sefton CCG.

**Request/Response:**

All CCGs have been required to submit data to NHS England via this template:  
<http://www.england.nhs.uk/wp-content/uploads/2014/12/2-ccg-act-finc-temp.xlsm>

I am asking for the most recent version of this report.

Please send it in Excel format, rather than converting it into a pdf.

[Please see Appendix 1.](#)

## 2015/16 - Activity and Finance Plan

CCG

NHS South Sefton CCG	01T
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**Sub Region**

Cheshire and Merseyside	Q75
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**Region**

North	Y54
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**Submission Date**

13-Jan-15
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**Completed By:**

James Bradley
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**Email:**

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**Contact Number:**

0151 2477070
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**Quality Checks Cleared?**

NO	<a href="#">Quality Checks</a>
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**Signed by CFO:**



### **Submission guidance**

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<https://nhsengland.sharepoint.com/sites/ccgfin/DropOffLibrary>

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The supporting guidance can be found here:

<http://www.england.nhs.uk/ourwork/forward-view>

[Financial Plan Summary](#)[Revenue Resource Limit](#)[FinancialPlanDetail 1516](#)[Risk](#)[Investment](#)[QIPP 1516](#)[LD](#)[Mental Health](#)[BCF](#)[SoFP](#)[Cash](#)[Capital](#)[Contract 1415](#)[Contract 1516](#)[Contract Analysis](#)[50% Marginal Rate](#)[Quality Checks](#)[Waterfall](#)

**Financial Position****Revenue Resource Limit**

£ 000	2014/15	2015/16
Recurrent	226,496	234,531
Non-Recurrent	4,846	3,611
Total	231,342	238,142

**Income and Expenditure**

Acute	132,165	128,601
Mental Health	16,175	16,915
Community	22,064	22,681
Continuing Care	11,339	11,884
Primary Care	33,532	35,011
Other Programme	8,556	16,163
<b>Total Programme Costs</b>	<b>223,831</b>	<b>231,255</b>

Running Costs	3,508	3,296
Contingency	1,155	1,191
<b>Total Costs</b>	<b>228,494</b>	<b>235,742</b>

£ 000	2014/15	2015/16
Surplus/(Deficit) In-Year Movement	536	(448)
Surplus/(Deficit) Cumulative	2,848	2,400
Surplus/(Deficit) %	1.2%	1.0%
<b>Surplus (RAG)</b>	<b>GREEN</b>	<b>GREEN</b>

Net Risk/Headroom	(1,283)
Risk Adjusted Surplus/(Deficit) Cumulative	1,117
Risk Adjusted Surplus/(Deficit) %	0.5%
<b>Risk Adjusted Surplus/(Deficit) (RAG)</b>	<b>AMBER</b>

Underlying position - Surplus/ (Deficit) Cumulative	5,015	4,537
Underlying position - Surplus/ (Deficit) %	2.2%	1.9%

Contingency	1,155	1,191
Contingency %	0.5%	0.5%
<b>Contingency (RAG)</b>	<b>GREEN</b>	

Notified Running Cost Allocation + Quality Premium	4,058	3,296
Running Cost	3,508	3,296
Under / (Overspend)	550	-
<b>Running Costs (RAG)</b>	<b>GREEN</b>	
Population Size (000)	155	155
Spend per head (£)	22.63	21.26

**Key Planning Assumptions**

	2015/16
Notified Allocation Change (£'000)	3,930
Notified Allocation Change (%)	1.7%
Tariff Change - Acute (%)	-0.7%
Tariff Change - Non Acute (%)	-0.3%
Demographic Growth (%)	0.1%
Non Demographic Growth - Acute (%)	1.0%
Non Demographic Growth - Cont.Care(%)	7.3%
Non Demographic Growth - Prescribing (%)	4.0%
Non Demographic Growth - Other Non Acute (%)	2.6%

**Overview of financial position including FCOT, reserves, underlying position risk and mitigation**

The CCG is planning to achieve a 1% surplus in both 2014/15 and 2015/16.

The CCG has made a number of assumptions in terms of growth in activity and costs, and these are described in the section below. These reflect a number of the risks facing the CCG, and the significant pressures experienced in 2014/15.

In order to achieve the planned 1% surplus, the CCG is required to deliver an ambitious QIPP target, which focuses on reductions in acute activity. The CCG currently has an unidentified QIPP target of £3.231m. The CCG has implemented a number of schemes in quarter 4 of 2014/15, and it is anticipated that savings will be achieved in the latter half of 2015/16. Further decommissioning and investment plans associated with the QIPP achievement continue to be developed. A 1% non-recurrent Transformation Fund has been established in order to invest in cost-saving initiatives.

The BCF expenditure commitments are identified in the plan.

Contingency of 0.5% has been set aside in line with the business rules.

The CCG has identified a number of risks, and these are explained in the risks tab.

The impact of the Enhanced Tariff Option (ETO) has been reflected in the plan.

A revised BCF activity plan has been detailed in the Contracts tab for 2015/16. This reflects a 3.3% reduction in activity. Costs associated with NEL activity remain the same due to increased acuity of patients, and the fact that BCF monies will flow once the activity has reduced.

	2014/15	2015/16
Recurrent (inclusive of full year effect)	1,390	3,620
Non-Recurrent	-	1,000
Total	1,390	4,620
% of Notified Resource	0.6%	1.9%
% Unidentified	0.0%	0.0%

**Overview on QIPP schemes and risk to delivery**

The CCG is required to meet an ambitious QIPP target in order to achieve its financial targets. This is focussed on reducing acute activity through a range of initiatives. A number of initiatives have been implemented in quarter 4 of 2014/15, and savings are anticipated in the latter half of the year.

There is also a continued focus on reducing prescribing spend.

£ 000	2014/15	2015/16
Value	5,570	2,271
Agreed plans in place	5,660	2,271
Difference	(90)	0

**Description of plans in place for non-recurrent expenditure**

A range of non-recurrent investment schemes are in place, including continued contributions to the CHC Restitution Risk Pool.

## Revenue Resource Limit

£'000	sign	Opening 2014/15 Allocation	2015/16
Programme Baseline Allocation - Published Dec 14	+ve	222,857	227,181
Post Mth07 Recurrent Transfers in 14/15	+ve/(-ve)	(51)	(51)
Running Cost Allocation - Published Dec 14	+ve	3,690	3,296
<b>Total Notified Allocation</b>		<b>226,496</b>	<b>230,426</b>

Additional Better Care Fund Allocation			4,105
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Non Recurrent Allocations			
Other Non Recurrent allocations	+ve/(-ve)	2,534	763
Return of Surplus/(Deficit)	+ve/(-ve)	2,312	2,848
Non Recurrent Requirement	(-ve)	(5,570)	(2,271)
Non Recurrent Return	+ve	5,570	2,271
50% Non Elective Collection	+ve	-	-
50% Non Elective Return	(-ve)	-	-
<b>Total Non Recurrent Allocation</b>		<b>4,846</b>	<b>3,611</b>

Total Allocation		231,342	238,142
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Closing target allocation per head	+ve	1,331	1,369
Allocation per head	+ve	1,436	1,462
Distance from Target		105	93
Distance from Target % (Dec14 Board Paper)		7.9%	6.8%

Other non-recurrent allocaton		Opening 2014/15 Allocation	2015/16
		2015/16	2015/16
Quality Premium		368	
Winter Funding		1,213	
RTT Funding		297	
CEOV		1	
GPIT		552	
Capital Grants		-	
Others		103	
ETO/DTR Funding			763
<b>Total</b>		<b>2,534</b>	<b>763</b>

NHS South Sefton CCG		01T	Contents Quality Checks																Sub total - 2015/16 Non Recurrent Resource		Sub total - 2015/16 Non Recurrent Resource		Total - 2015/16 Resource			
	2014/15 Forecast Outturn	Non-recurrent allocations (-)					Forecast Exit Run Rate (underlying position +)				Increase in recurrent allocation				Additional Better Care Fund Allocation		Sub total - 2015/16 recurrent Resource Limit									
Revenue Resource Limit (£000)		231,342	(4,846)				226,496				3,930				4,105		234,531					3,611	238,142			
(£000)																							TOTAL			
Income and Expenditure	2014/15 Forecast Outturn	Non-recurrent adjustment to allocation (-)	Non-recurrent spend (-/+)	14/15 Non-Recurrent QIPP (-/+)	Full Year Effect of QIPP (-/+)	Other Full Year Effects (-/+)	Forecast Exit Run Rate (underlying Position) (+)	Gross Provider Efficiency (-)	Provider Inflation (+)	Net Tariff Deflation / Inflation (-/+)	Activity Growth (Demog) (+)	Activity Growth (Non-Demog) (+)	Other Recurrent Cost Pressures (+)	QIPP Gross Saving (-/+)	QIPP Investment (+)	Additional Better Care Fund Allocation (+)	Investment (Recurrent) (+)	Sub total - 2015/16 Recurrent	Application of NR Allocation / Passthrough (+)	Other NR Cost Pressures (+)	Investment (NR) (-)	QIPP Gross Saving (+)	QIPP Investment (+)	Sub-total Non-Recurrent	Total - 2015/16 Plan	
<b>Acute services</b>																										
Acute contracts - NHS (includes Ambulance services)	126,711		(989)			-		385		126,107 2,554	(4,859) (97)	3,995	(864) (16)	190 4	1,248 51			1,336	125,786 2,593		83	(1,000)	-	(917)	124,869	
Acute contracts - Other providers (non-nhs, incl. VS)	2,847		(293)			-																			2,593	
Acute - Other						-																			-	
Acute - Exclusions / cost per case						-																			-	
Acute - NCAs	1,097					-																			1,139	
Acute - Pass-through payments	1,510	(1,510)				-																			-	
<b>Sub-total Acute services</b>	<b>132,165</b>	<b>(1,510)</b>	<b>(1,242)</b>			-		385		<b>129,798</b> <b>(5,008)</b>	<b>4,121</b>	<b>(887)</b>	<b>196</b>	<b>1,306</b>		<b>(2,231)</b>		<b>1,336</b>	<b>129,518</b>		<b>83</b>	<b>(1,000)</b>		<b>(917)</b>	<b>128,601</b>	
<b>Mental Health services</b>																										
MH contracts - NHS	14,726		(250)			-				14,476 1,427	(551)	458	(93)	22	18					1,043	15,454 1,427		-	-	-	15,454
MH contracts - Other providers (non-nhs, incl. VS)	1,312		115			-																			1,427	
MH - Other						-																			-	
MH - Exclusions / cost per case						-																			-	
MH - NCAs	34					-																			34	
MH - Pass-through payments	103	(103)				-																			-	
<b>Sub-total Mental Health Services</b>	<b>16,175</b>	<b>(103)</b>	<b>(135)</b>			-				<b>15,937</b>	<b>(551)</b>	<b>458</b>	<b>(93)</b>	<b>22</b>	<b>18</b>		<b>(12)</b>		<b>1,043</b>	<b>16,915</b>		-	-	-	<b>16,915</b>	
<b>Community Health Services</b>																										
CH Contracts - NHS	20,733		(652)			-				20,081 683	(792)	634	(158)	30	400					325	20,678 683		352	-	21,030	
CH Contracts - Other providers (non-nhs, incl. VS)	1,083		(400)			-																			1,403	
CH - Other						-																			-	
CH - Exclusions / cost per case						-																			248	
CH - NCAs	248					-																			-	
<b>Sub-total Community Health services</b>	<b>22,064</b>	<b>-</b>	<b>(1,052)</b>			-				<b>21,012</b>	<b>(792)</b>	<b>634</b>	<b>(158)</b>	<b>30</b>	<b>400</b>					<b>325</b>	<b>21,609</b>		<b>-</b>	<b>-</b>	<b>1,072</b>	<b>22,681</b>
<b>Continuing Care Services (All Care Groups)</b>																										
Local Authority / Joint Services	7,279		(285)			-				6,994										7,614		-	-	-	7,614	
Free Nursing Care	1,870					-				1,870										1,966		-	-	-	1,966	
<b>Sub-total Continuing Care services</b>	<b>11,339</b>	<b>-</b>	<b>(285)</b>			-				<b>11,054</b>									<b>11,884</b>		-	-	-	<b>11,884</b>		
<b>Primary Care services</b>																										
Prescribing	29,349		38			-				29,387										30,493		-	-	-	30,493	
Community Base Services	1,279					-				1,279										1,279		-	-	-	1,279	
Out of Hours	2,904		(1,108)			-				1,796										3,041		198	-	-	3,239	
<b>Sub-total Primary Care services</b>	<b>33,532</b>	<b>-</b>	<b>(1,070)</b>			-				<b>32,462</b>									<b>1,242</b>	<b>34,813</b>		<b>-</b>	<b>-</b>	<b>198</b>	<b>35,011</b>	
<b>Other Programme services</b>																										
GP IT Costs	836	(552)				-				284									86	370		-	-	370		
NHS Property Services re-charge (excluding running cost)	686		314			-				1,000										1,000		-	-	-	1,000	
Voluntary Sector Grants / Services	2,059		(23)			-				2,036										2,036		44	-	-	2,080	
Social Care						-																			-	
Other CCG reserves	731	(731)				-				4,208										285					285	
Other Programme Services	4,244		(36)			-														4,105	4,268		4,160	-	12,428	
<b>Sub-total Other Programme services</b>	<b>8,556</b>	<b>(552)</b>	<b>(476)</b>			-				<b>7,528</b>									<b>4,105</b>	<b>581</b>	<b>11,959</b>		<b>-</b>	<		

## Risks and Mitigations

2015/16

Risks	Full Risk Value £'000	Probability of risk being realised %	Potential Risk Value £'000	Proportion of Total %	Commentary
CCGs					
Acute SLAs	680	50.0%	340	13.7%	Growth has been factored into the plan based on current trends, but expenditure in this area can be volatile, so it is reasonable to reflect risk of higher than planned expenditure.
Community SLAs			-	0.0%	
Mental Health SLAs	3,452	10.0%	345	14.0%	Mersey Care pursuing claims of activity over-performance, but significant data quality concerns remain.
Continuing Care SLAs	1,050	50.0%	525	21.2%	The CCG experienced significant increases in CHC in 2014/15. The CCG has planned for a 5% increase. This may be inadequate.
QIPP Under-Delivery			-	0.0%	
Performance Issues			-	0.0%	
Primary Care			-	0.0%	
Prescribing	398	50.0%	199	8.0%	Budgets have been increased by 4%, but prices for Category M drugs may increase following national review. Costs in prescribing can be volatile and it is reasonable to reflect the risk of higher than planned expenditure.
Running Costs			-	0.0%	
BCF	1,680	25.0%	420	17.0%	No guaranteed support from CCG for social seervices growth. Council expects c. £1.7m for demographic change.
Other Risks	645	100.0%	645	26.1%	Extra day due to leap year - £645k.
<b>TOTAL RISKS</b>	<b>7,905</b>	<b>31%</b>	<b>2,474</b>	<b>100.0%</b>	

Mitigations	Full Mitigation Value £'000	Probability of success of mitigating action %	Expected Mitigation Value £'000	Proportion of Total %	Commentary
Uncommitted Funds (Excl 1% Headroom)					
Contingency Held	1,191	100.0%	1,191	100.0%	
Reserves			-	0.0%	
Investments Uncommitted			-	0.0%	
<b>Uncommitted Funds Sub-Total</b>	<b>1,191</b>	<b>100%</b>	<b>1,191</b>	<b>100.0%</b>	
Actions to Implement					
Further QIPP Extensions			-	0.0%	
Non-Recurrent Measures			-	0.0%	
Delay/ Reduce Investment Plans			-	0.0%	
Mitigations relying on potential funding	-		-	0.0%	Complete in section below - row 41
<b>Actions to Implement Sub-Total</b>	<b>-</b>	<b></b>	<b>-</b>	<b>0.0%</b>	
<b>TOTAL MITIGATION</b>	<b>1,191</b>	<b>100.0%</b>	<b>1,191</b>	<b>100.0%</b>	
<b>NET RISK / HEADROOM</b>	<b>(6,714)</b>	<b>19.1%</b>	<b>(1,283)</b>		
<b>BEST CASE IMPACT</b>	<b>1,191</b>	<b>100.0%</b>	<b>1,191</b>		No risks materialise and funds remain uncommitted.
<b>WORST CASE IMPACT</b>	<b>(6,714)</b>	<b>19.1%</b>	<b>(1,283)</b>		All risks occur and further actions all unsuccessful, uncommitted funds mitigate only.

## Mitigations relying on potential funding

From National					
From Area Team					
From CCGs					
Potential Allocations	-		-	0.0%	

## Investments

2015/16	Recurrent resource (underlying and in-yr surplus)	Non-Recurrent					Total
		B/F surplus	Non Recurrent Requirement	50% Threshold	Re-admission Credit	NR Total	
Available to Invest		2,848	2,271	-		5,119	5,119
<b>2015/16 Recurrent Investment</b>							
Description	Area of Spend (select from drop down)						
Mersey Care TIME project	MH contracts - NHS	1,020					1,020
NHS COIN Infrastructure costs	GP IT Costs	86					86
EMIS Web Licence costs	CH Contracts - NHS	195					195
MacMillan GP support	Other Programme Services	28					28
Adoption of IVF NICe criteria	Acute contracts -NHS (includes Ambulance services)	136					136
Aspergers	MH contracts - NHS	23					23
Virtual Ward	CH Contracts - NHS	90					90
Transforming Primary Care	PC - Other	1,242					1,242
Home oxygen service	CH Contracts - NHS	40					40
System resilience	Acute contracts -NHS (includes Ambulance services)	1,200					1,200
Better Care Fund - additional investment	Other Programme Services	467					467
<b>Sub-total recurrent</b>		<b>4,527</b>	-	-	-	-	<b>4,527</b>
<b>Non-Recurrent</b>							
Description	Area of Spend (select from drop down)						
CVS	CH Contracts - Other providers (non-nhs, incl. VS)		720				720
Advancing Quality	PC - Other		80				80

Community Spirometry	Acute contracts -NHS (includes Ambulance services)			83			83	83
Winter pressures	CH Contracts - NHS			352			352	352
Winter pressures	PC - Other			118			118	118
EOL facilitator	Voluntary Sector Grants / Services			44			44	44
CHC Restitution	Other Programme Services			575			575	575
CHC Restitution admin	Other Programme Services			120			120	120
Cheshire / Mersey Rehab	Other Programme Services	640		179			819	819
IM&T	Other Programme Services		246				246	246
Transformation Fund	Other Programme Services		2,400				2,400	2,400
Continuing Health Care Risk Pool								
<b>Sub-total Non-Recurrent</b>		-	3,286	2,271	-	-	5,557	5,557
<b>Total planned Investment</b>		4,527	3,286	2,271	-	-	5,557	10,084
<b>Remaining Funds</b>		(4,527)	(438)	0	-	-	(438)	(4,965)



NHS South Sefton CCG		DST		Contents		Quality Checks				
		2014-15 Forecast		2015-16 plan						
		Service forecast spend on PWLDA	Of which, funded through pooled budget with LA	Activity measures		Service planned spend on PWLDA	Of which, funded through pooled budget with LA	Activity measures		Notes
				Number of contacts* where appropriate	No of individual PWLDA using service per year			Number of contacts* where appropriate	No of individual PWLDA using service per year	
Population-based services for PWLDA (excluding in-patient spend)										
Crisis/Intensive Support team				-	-			-	-	
Community Multi-Disciplinary Team				-	-			-	-	
Other Services				-	-			-	-	
Other community services - please describe each service in notes				-	-			-	-	
Individual PWLDA spend										
Continuing Care / Longer Term Complex Care of which - Personal Health Budgets	147	-		4	36.75	154		4	38.50	
Joint Funding of Individuals of which - Section 117	351	-		11	31.91	369		11	33.55	
Other Personal Health Budget spend	93	-		4	23.25	98		4	24.50	
Other non-pool funded (please explain in notes)				-	-			-	-	
<b>Sub-total</b>	<b>400</b>				<b>523</b>					
LD Table 2										
Inpatient Services for PWLDA										
Respite care										
high secure beds										
medium secure beds										
secure beds										
acute admission beds within specialised learning disability units	44				2	22.00	44			
acute admission beds within generic mental health settings	78				7	11.14	78			
Residential care beds										
complex continuing care and rehabilitation beds										
other beds including those for specialist neuropsychiatric conditions										
<b>Sub-total</b>	<b>122</b>			<b>9</b>	<b>122</b>			<b>9</b>		
Total PWLDA spend	620				640					
LD Table 3										
Pooled budget arrangements for PWLDA										
CCG funding pooled for PWLDA		Other LA funding pooled for PWLDA		TOTAL	CCG funding pooled for PWLDA	Other LA funding pooled for PWLDA		TOTAL		Notes
Funding pooled for PWLDA included in BCF										
Other funding pooled for PWLDA with LA										
<b>Total</b>										
check		Spent			Spent					0

**Mental Health Parity of Esteem**

Area of Spend (£'000)	2014/15 Forecast Outturn	Spend on Mental Health in other Areas	2014/15 Restated Forecast Outturn	2015/16 Plan	Spend on Mental Health in other Areas	2015/16 Restated Plan	% Change
Acute	132,165	(1,899)	130,266	128,601	(1,925)	126,676	-2.8%
Mental Health Services	16,175	7,658	23,833	16,915	8,165	25,080	5.2%
Community Health Services	22,064		22,064	22,681		22,681	2.8%
Continuing Care Services	11,339	(3,675)	7,664	11,884	(4,090)	7,794	1.7%
Primary Care Services	33,532	(2,084)	31,448	35,011	(2,150)	32,861	4.5%
Other Programme Services	8,556		8,556	16,163		16,163	88.9%
<b>Total</b>	<b>223,831</b>	-	<b>223,831</b>	<b>231,255</b>	-	<b>231,255</b>	

NHS South Sefton CCG		01T	Contents	Quality Checks
Figures in £'000s				
BCF Allocation				
Additional BCF Allocation Transfer from Existing CCG Allocations to the BCF Minimum 2015/16 CCG Contribution to BCF				
2014/15		2015/16		
Mandated Transfer from CCG Baseline	Additional CCG Contribution to BCF 1415	Additional 2015/16 BCF Allocation	2015/16 Mandated Transfer from CCG Baseline	Additional CCG Contribution to BCF 1516
		4,104.7	8,282.3	
		4,104.7	8,282.3	- 12,387.0
Additional CCG contribution to BCF				
Total CCG contribution to BCF				
BCF Expenditure				
Acute services - NHS Acute services - Non-NHS MH services - NHS MH services - Non-NHS Community services - NHS Community services - Non-NHS Continuing Care services Primary Care services Social Care Other Programme services				
Total CCG BCF Expenditure				
Growth 15/16				
Surplus 14/15				
Mandated Transfer 15/16				
TRUE		TRUE	TRUE	TRUE
Health and Well Being Board B				
Figures in £'000s				
BCF Allocation				
Additional BCF Allocation Transfer from Existing CCG Allocations to the BCF Minimum 2015/16 CCG Contribution to BCF				
2014/15		2015/16		
Mandated Transfer from CCG Baseline	Additional CCG Contribution to BCF	Additional 2015/16 BCF Allocation	2015/16 Mandated Transfer from CCG Baseline	Additional CCG Contribution to BCF
		-	-	-
		-	-	-
Additional CCG contribution to BCF				
Total CCG contribution to BCF				
BCF Expenditure				
Acute services - NHS Acute services - Non-NHS MH services - NHS MH services - Non-NHS Community services - NHS Community services - Non-NHS Continuing Care services Primary Care services Social Care Other Programme services				
Total CCG BCF Expenditure				
Growth 15/16				
Surplus 14/15				
Mandated Transfer 15/16				
TRUE		TRUE	TRUE	TRUE
Health and Well Being Board C				
Figures in £'000s				
BCF Allocation				
Additional BCF Allocation Transfer from Existing CCG Allocations to the BCF Minimum 2015/16 CCG Contribution to BCF				
2014/15		2015/16		
(000)	Additional CCG Contribution to BCF	Additional 2015/16 BCF Allocation	2015/16 Mandated Transfer from CCG Baseline	Additional CCG Contribution to BCF
		-	-	-
		-	-	-
Additional CCG contribution to BCF				
Total CCG contribution to BCF				
BCF Expenditure				
Acute services - NHS Acute services - Non-NHS MH services - NHS MH services - Non-NHS Community services - NHS Community services - Non-NHS Continuing Care services Primary Care services Social Care Other Programme services				
Total CCG BCF Expenditure				
Growth 15/16				
Surplus 14/15				
Mandated Transfer 15/16				
TRUE		TRUE	TRUE	TRUE
Health and Well Being Board D				
Figures in £'000s				
BCF Allocation				
Additional BCF Allocation Transfer from Existing CCG Allocations to the BCF Minimum 2015/16 CCG Contribution to BCF				
2014/15		2015/16		
(000)	Additional CCG Contribution to BCF	Additional 2015/16 BCF Allocation	2015/16 Mandated Transfer from CCG Baseline	Additional CCG Contribution to BCF
		-	-	-
		-	-	-
Additional CCG contribution to BCF				
Total CCG contribution to BCF				
BCF Expenditure				
Acute services - NHS Acute services - Non-NHS MH services - NHS MH services - Non-NHS Community services - NHS Community services - Non-NHS Continuing Care services Primary Care services Social Care Other Programme services				
Total CCG BCF Expenditure				
Growth 15/16				
Surplus 14/15				
Mandated Transfer 15/16				
TRUE		TRUE	TRUE	TRUE
0				

		2014/15 Outturn (£000)		2015/16 Plan (£000)												
	sign	March		Apr	May	June	July	August	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	March	
<b>Assets</b>																
Non Current Assets																
Opening Balance	+ve	42		42	42	42	42	42	42	34	34	34	34	34	34	34
Depreciation	-ve															(8)
Additions	+ve															
Long Term Receivables	+ve	-														
Total Non Current Assets		42		42	42	42	42	42	34	34	34	34	34	34	34	26
Current Assets																
Inventories	+ve	-														
NHS Trade and Other Receivables	+ve	1,183		1,183	1,183	1,183	1,183	1,183	1,183	1,183	1,183	1,183	1,183	1,183	1,183	1,183
Non NHS Trade and Other Receivables	+ve	329		329	329	329	329	329	329	329	329	329	329	329	329	329
Cash and Cash Equivalents	+ve	179		137	140	137	146	141	123	150	143	140	143	134	127	
Total Current Assets		1,691		1,649	1,652	1,649	1,658	1,653	1,635	1,662	1,655	1,652	1,655	1,646	1,639	
Total Assets		1,733		1,691	1,694	1,691	1,700	1,695	1,669	1,696	1,689	1,686	1,689	1,680	1,665	
<b>Liabilities</b>																
Non Current Liabilities																
Borrowings	-ve	-														
Deferred Income (non current)	-ve	-														
Provisions (non current)	-ve	-														
Trade and Other Payables (non current)	-ve	-														
Finance Leases (non current)	-ve	-														
Total Non Current Liabilities		-		-	-	-	-	-	-	-	-	-	-	-	-	-
Current Liabilities																
Borrowings	-ve	-														
Deferred Income (current)	-ve	-														
Provisions (current)	-ve	-														
Trade and Other Payables (current)	-ve	(16,405)		(16,163)	(15,966)	(15,763)	(15,572)	(15,367)	(15,141)	(14,968)	(14,761)	(14,558)	(14,361)	(14,152)	(13,937)	
Finance Leases (current)	-ve	-														
Total Current Liabilities		(16,405)		(16,163)	(15,966)	(15,763)	(15,572)	(15,367)	(15,141)	(14,968)	(14,761)	(14,558)	(14,361)	(14,152)	(13,937)	
Total Liabilities		(16,405)		(16,163)	(15,966)	(15,763)	(15,572)	(15,367)	(15,141)	(14,968)	(14,761)	(14,558)	(14,361)	(14,152)	(13,937)	
<b>TOTAL ASSETS EMPLOYED</b>		(14,672)		(14,472)	(14,272)	(14,072)	(13,872)	(13,672)	(13,472)	(13,272)	(13,072)	(12,872)	(12,672)	(12,472)	(12,272)	
<b>Taxpayers' Equity</b>																
General Fund	+ve/-ve	(14,672)		(14,472)	(14,272)	(14,072)	(13,872)	(13,672)	(13,472)	(13,272)	(13,072)	(12,872)	(12,672)	(12,472)	(12,272)	
Revaluation Reserve	+ve/-ve															
Other Reserves	+ve/-ve															
<b>TOTAL ASSETS EMPLOYED</b>		(14,672)		(14,472)	(14,272)	(14,072)	(13,872)	(13,672)	(13,472)	(13,272)	(13,072)	(12,872)	(12,672)	(12,472)	(12,272)	

Check

TRUE

NHS South Sefton CCG	01T	<a href="#">Contents</a>	<a href="#">Quality Checks</a>										
	April	May	June	July	August	September	October	Nov	Dec	January	February	March	Total
<b>2015/16</b>	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Expenditure	19,428	20,031	19,901	20,522	19,126	18,507	20,437	18,775	19,478	20,943	19,364	20,934	237,449
<i>Less Non Cash Items</i>													-
Depreciation/Amortisation - Running Costs													(32)
Depreciation/Amortisation - Programme Costs													
Impairments - Running Costs													-
Impairments - Programme Costs													-
<i>Less Top Slices</i>													
Prescription Pricing Authority	(2,331)	(2,404)	(2,344)	(2,528)	(2,418)	(2,389)	(2,621)	(2,458)	(2,406)	(2,455)	(2,264)	(2,482)	(29,100)
Other Central / BSA payments													-
Remaining Expenditure	17,097	17,627	17,557	17,994	16,708	16,102	17,816	16,317	17,072	18,488	17,100	18,436	208,317
<b>2015/16</b>	April	May	June	July	August	September	October	Nov	Dec	January	February	March	Total
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
<b>Receipts</b>													
Balance b/fwd	179	137	140	137	146	141	123	150	143	140	143	134	-
BACS													-
CHAPS													-
CCG-Drawdown	16,918	17,490	17,417	17,857	16,562	15,961	17,693	16,167	16,929	18,348	16,957	18,302	206,601
CCG-Drawdown additional													-
Other													-
PCS Payments Reimbursements	2	2	2	2	2	2	2	2	2	2	2	2	24
VAT													-
Capital Receipts													-
Total Receipts	17,099	17,629	17,559	17,996	16,710	16,104	17,818	16,319	17,074	18,490	17,102	18,438	206,625
<b>Payments</b>													
Creditors NHS	13,678	14,195	14,120	14,553	13,307	12,700	14,365	12,893	13,672	15,042	13,688	14,995	167,208
Creditors CHAPS													-
Salary CHAPS	62	62	62	62	62	62	62	62	62	62	62	62	744
Pensions	21	21	21	21	21	21	21	21	21	21	21	21	252
Tax & NI	29	29	29	29	29	29	29	29	29	29	29	29	348
Standing Orders /Direct Debits													-
PCS Payments													-
Other	3,172	3,182	3,190	3,185	3,150	3,169	3,191	3,171	3,150	3,193	3,168	3,204	38,125
Capital Payments													-
Total -Expenditure	16,962	17,489	17,422	17,850	16,569	15,981	17,668	16,176	16,934	18,347	16,968	18,311	206,677
Balance c/fwd	137	140	137	146	141	123	150	143	140	143	134	127	

Planned Capital Expenditure (Please describe the Scheme)	Business Case Submitted (Y/N)	2015/16
		Value £'000s
Capital Grants		-
<b>TOTAL</b>		-

Capital Expenditure Dependencies - Schemes expected to be delivered by other bodies, e.g. NHS Property Services, NCB Primary Care Grants. (Please describe the Scheme)	Lead Organisation	Confirmed Scheme?	2015/16
			Value £'000s
<b>TOTAL</b>			-

## NHS South Western CCG

Contents Quality Checks

Value of Activity by Provider 2014/15 (NB this should be COT, inclusion of under/over performance, not the GVA value)

Activity

GVA (£M)

Spells

## Q1T NHS South Sefton CCG

Contents Quality Checks

Expected contract value 2015/16

Code	Trust	Activity												Finance												Total	CQUIN 15/16	Contract Type	QIPP 15/16	Contract Signed?					
		G&A / All Specialties (Activity)												G&A / All Specialties (Finance)																					
		Spells	Spells	Spells	Spells	Spells	Spells	Outpatients						A&E	Gross SLA	less 50% Marginal rate credit (-)	Net Non-elective	Daycase Elective Spells - all specialties E.C.23	Ordinary Elective Spells - all specialties E.C.21	All first Outpatient Attendances - all specialties E.C.24	All first Outpatient Attendances - G&A E.C.5	First outpatient attendance following GP Referrals - all specialties E.C.25	All subsequent outpatient attendances - all specialties E.C.6	A&E attendances - all types E.C.8	Outpatients	A&E	Community Services Contracts	Other	Mental Health Contracts	Ambulance	Spells	Spells	Spells	Attendances-1st	Attendances-follow-ups
RBS	Alder Hey Children's NHS FT	737	948	948	737	215	215	2,408	2,408	950	950	5,087	7,227	1,033	589	286	548	360	609	341	4,654	8,420	207	PfR	1,09	1,33	0,80	0,23	0,07	0,08	No				
REM	Aintree University Hospital NHS FT	11,967	13,550	13,550	11,967	2,192	2,192	32,766	32,766	18,114	18,114	65,759	30,609	28,671	7,220	5,377	5,248	7,612	2,996	4,767	20,744	82,635	1,880	PfR	2,12	2,45	0,60	0,16	0,12	0,10	No				
REP	Liverpool Women's NHS FT	1,047	3,107	518	1,047	410	410	4,840	4,835	2,357	2,355	10,400	1,736	2,358	590	695	553	805	143	5	4,355	9,504	220	PfR	0,76	1,70	0,56	0,11	0,08	0,08	No				
RQE	Royal Liverpool and Broadgreen University Hospitals NHS Trust	1,725	989	975	1,725	453	453	6,105	6,105	3,435	3,435	18,192	4,349	1,502	1,133	1,255	850	1,539	334	923	2,548	10,084	227	PfR	1,52	2,77	0,66	0,14	0,08	0,08	No				
RTV	Southport and Ormskirk Hospital NHS Trust	1,056	1,784	1,610	1,056	210	210	4,030	3,986	2,441	2,414	7,287	8,547	1,805	180	678	571	564	701	456	39	1,424	13,662	1,084	PfR	1,01	2,72	0,64	0,14	0,10	0,05	No			
RW4	Mersey Care NHS Trust																													No					
RX1	Liverpool Community Health NHS Trust																													No					
RX2	North West Ambulance Service NHS Trust																													No					
Other Contracts (less than £sm)		850	381	327	850	234	234	1,210	1,206	612	610	3,536	909	451	872	623	137	246	83	0	1,362	1,826	1,577	7,177	1,18	2,66	1,03	0,11	0,07	0,09	Validation				
Total		17,352	20,759	17,528	17,382	3,714	3,714	51,359	51,306	27,919	27,888	110,261	53,877	35,820	0	35,820	11,082	8,807	7,900	11,263	4,621	6,075	35,087	15,498	5,353	21,278	162,774	3,574	1,73	2,37	0,64	0,15	0,10	0,09	0
Non NHS Providers		565	565	77	77	1,790	1,790	1,719	1,719	5,168	5,168	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					
Total NHS & non NHS Providers		17,947	20,759	17,928	17,947	3,791	3,791	53,349	53,096	29,638	29,607	115,429	53,877	35,820	0	35,820	12,160	9,331	8,095	11,471	4,621	6,075	35,673	16,915	5,353	22,681	168,197	3,574	1,73	2,37	0,64	0,15	0,10	0,09	0

## Profile of total Activity

Daycase Elective Spells - all specialties E.C.32	Non-elective spells - all specialties E.C.23	Non-elective E.C.4	Daycase Elective Spells - G&A E.C.2	Ordinary Elective Spells - all specialties E.C.21	Ordinary Elective Spells - G&A E.C.1	All first Outpatient Attendances - all specialties E.C.24	All first Outpatient Attendances - G&A E.C.5	First outpatient attendance following GP Referrals - all specialties E.C.25	All subsequent outpatient attendances - all specialties E.C.6	A&E attendances - all types E.C.8																		
April	1,459	1,688	1,458	1,459	308	308	4,321	4,317	2,009	2,407	9,384	4,381																
May	1,530	1,774	1,532	1,531	323	323	4,532	4,528	2,527	2,525	9,643	4,605																
June	1,584	1,718	1,484	1,584	335	335	4,690	4,687	2,615	2,613	10,186	4,458																
July	1,550	1,854	1,601	1,551	327	327	4,591	4,587	2,561	2,558	9,971	4,812																
August	1,308	1,694	1,463	1,309	276	276	3,876	3,872	2,162	2,159	8,418	4,397																
September	1,458	1,466	1,249	1,439	304	304	4,261	4,256	2,376	2,373	8,533	3,753																
October	1,429	1,725	1,513	1,513	311	311	4,118	4,113	2,153	2,150	10,446	4,608																
November	1,453	1,668	1,284	1,452	307	307	4,294	4,294	2,397	2,394	9,935	3,961																
December	1,304	1,793	1,548	1,305	276	276	3,863	3,860	2,154	2,152	8,391	4,654																
January	1,610	1,942	1,677	1,608	349	346	4,762	4,757	2,656	2,653	10,342	5,041																
February	1,435	1,708	1,475	1,435	303	303	4,251	4,246	2,370	2,368	9,231	4,431																
March	1,683	1,879	1,624	1,681	355	355	4,986	4,979	2,780	2,777	10,829	4,876																
Total	17,947	20,759	17,928	17,947	3,791	3,791	53,349	53,096	29,638	29,607	115,429	53,877																
Error?	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	Validation

## GP Referrals (G&amp;A)

GP Referrals E.C.9	Other Referrals E.C.10
--------------------	------------------------

Total	CQUIN 14/15
£000	£000

2014/15	20,759	3,714	51,359	110,261	53,877	35,820	-	35,820	11,082	8,807	7,900	11,263	4,621	6,075	38,375	14,863	5,375	20,981	165,162	3,574
2015/16	20,759	3,714	51,359	110,261	53,877	35,820	-	35,820	11,082	8,807	7,900	11,263	4,621	6,075	35,087	15,488	5,353	21,278	162,774	3,574

2014/15 - 2015/16 Change	-	-	-	-	-	-	0%	#DIV/0!	-	0%	0%	0%	0%	0%	0%	(3,288)	625	(22)	297	(2,388)	-
2014/15 - 2015/16 Change %	0%	0%	0%	0%	0%	0%	0%		0%	0%	0%	0%	0%	0%	0%	-9%	4%	0%	1%	-1%	0%

Average Cost - Acute Services (£'000)				
Spells	Spells	Outpatients	A&E	
Non-elective admissions - all specialties E.C.23	Elective admissions - ordinary - all specialties E.C.21	All first outpatient attendance - all specialties E.C.24	All subsequent outpatient attendancies - all specialties E.C.6	A&E attendances all types E.C.8
2014/15	1.726	2.371	0.154	0.102
2015/16	1.726	2.371	0.154	0.102

2014/15 - 2015/16 Change	-	-	-	-	-	-
2014/15 - 2015/16 Change %	0%	0%	0%	0%	0%	0%

Acute Services Change in Cost due to Activity - Acute Services (£'000)					
Spells	Spells	Outpatients	A&E		Total
Non-elective admissions - all specialties E.C.23	Elective admissions - ordinary - all specialties E.C.21	All first outpatient attendance - all specialties E.C.24	All subsequent outpatient attendancies - all specialties E.C.6	A&E attendances all types E.C.8	
2014/15 - 2015/16 Change	-	-	-	-	-

Acute Services Change in Cost due to Price - Acute Services (£'000)					
Spells EC23	Spells EC21	Attendances-1st	Attendances-follow-ups	Attendances	Total
2014/15 - 2015/16 Change	-	-	-	-	-

Have providers sought to change the 2008/09 emergency admissions baseline?

No

Which Provider(s)?	What evidence was produced in support of change?	Has a change to the baseline been made?	Value of Emergency Admissions Baseline before change (£'000)	Value of Emergency Admissions Baseline after change (£'000)	If no, on what grounds was the request refused?	Trust Code

## Quality Checks

Check	Sheet(s)	Sheet comparator or Cell Reference			Validation Error
			Value	Value in compartor	
SoFP Balances 2015/16	SoFP	SoFP	(12,272)	(12,272)	- NO
Cash matches SOFP 2015/16	Cash	SoFP	127	127	- NO
Contracts compared to financial detail	<a href="#">Financial Plan Detail 1516</a>	<a href="#">Contract 1415</a>	170,404	170,404	- NO
Contracts compared to financial detail	<a href="#">Financial Plan Detail 1516</a>	<a href="#">Contract 1516</a>	168,197	168,197	- NO
Recurrent QIPP Gross Savings	<a href="#">Financial Plan Detail 1516</a>	<a href="#">QIPP 1516</a>	(3,620)	(3,620)	- NO
Recurrent QIPP Investment	<a href="#">Financial Plan Detail 1516</a>	<a href="#">QIPP 1516</a>	-	-	- NO
Investment Recurrent	<a href="#">Financial Plan Detail 1516</a>	<a href="#">Investment</a>	4,527	4,527	- NO
Non-Recurrent QIPP Gross Savings	<a href="#">Financial Plan Detail 1516</a>	<a href="#">QIPP 1516</a>	(1,000)	(1,000)	- NO
Non-Recurrent QIPP Investment	<a href="#">Financial Plan Detail 1516</a>	<a href="#">QIPP 1516</a>	-	-	- NO
Investment Non-Recurrent	<a href="#">Financial Plan Detail 1516</a>	<a href="#">Investment</a>	5,557	5,557	- NO
Monthly Profile - Financial Plan	<a href="#">Financial Plan Detail 1516</a>	<a href="#">Financial Plan Detail 1516</a>	2,400	2,400	(0) YES
Memorandom - Other Non Rec Cost Pressures	<a href="#">Financial Plan Detail 1516</a>	H86-H93	Multiple checks on sheet.		
Monthly Profile - QIPP	<a href="#">QIPP 1516</a>	<a href="#">QIPP 1516</a>	(4,620)	(4,620)	- NO
50% Check	<a href="#">Revenue Resource Limit</a>	<a href="#">Contract 1516</a>	-	-	- NO

Headings	Value	Ends	Before	After
14/15 Surplus	2,848	2,848		
Non Recurrent Adjustments	2,167		2,848	5,015
14/15 Recurrent Surplus	5,015	5,015		
Change in Allocation	8,035		5,015	13,050
Net Tariff Deflator	1,138		13,050	14,188
Activity Growth	-4,028		14,188	10,160
Other Cost Pressures	-611		10,160	9,549
QIPP savings	3,620		9,549	13,169
Investment	-4,527		13,169	8,642
Non Recurrent Adjustments	-2,137		8,642	6,505
BCF Spend	-4,105		6,505	2,400
Planned 15/16 surplus		2,400		

